

UNITED STATES PATENT AND TRADEMARK OFFICE

#3

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/001,674

10/31/2001

K.P. Ho

25821P034

CONFIRMATION NO. 3183

08791 BLAKELY SOKOLOFF TAYLOR & ZAFMAN 12400 WILSHIRE BOULEVARD, SEVENTH FLOOR LOS ANGELES, CA 90025 FORMALITIES LETTER

OC000000007271203

Date Mailed: 01/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

03/07/2002 VTRUDNG1 00000017 10001674

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130.00 OP





Attorney Docket No.: 25821P034

IN THE UNITED STATES TATENT AND TRADEMARK OFFICE

#3

| In re | the | Application | of: |
|-------|-----|-------------|-----|
|-------|-----|-------------|-----|

K.P. Ho, ET AL.

Application No.: 10/001,674

Filed: October 31, 2001

For: AN ITO HEATER

Assistant Commissioner for Patents
Attention: Box Missing Parts

Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed <u>January 7, 2002</u>, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
 - (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e); and
 - (3) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of the Fee Transmittal is enclosed for deposit account charging purposes.

| Dated: | rylor |
|--------|-------|
|--------|-------|

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 Telephone: (310) 207-3800 Respectfully submitted,

BLAKELY, SONOLOFF, TAYLOR & ZAFMAN

Eric S. Hyman, Reg. No. 30,139

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231

Welin Stead 3

Melissa Stead

Date

PTO/SB/21(08/00)

Approved for use triough 19/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/001,674 Application Numb r October 31, 2001 Filing Date TRANSMITTAL FORM K.P. Ho First Named Inventor (to be used for all correspondence after initial filing) COPY OF PAPERS **Group Art Unit** ORIGINALLY FILED **Examiner Name** 25821P034 **Attorney Docket Number** 15 Total Number of Pages in This Submission

| | ENCLOSURES (check all that | apply) | | | | |
|--|--|---|--|--|--|--|
| Fee Transmittal Form | Assignment Papers (for an Application) | After Allowance Communication to Group | | | | |
| Fee Attached | Drawing(s) | Appeal Communication to Board of Appeals and Interferences | | | | |
| Amendment / Response | Licensing-related Papers | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | | |
| After Final Affidavits/declaration(s) | Petition | Proprietary Information | | | | |
| Extension of Time Request | Petition to Convert a Provisional Application | Status Letter | | | | |
| Express Abandonment Request | Power of Attorney, Revocation Change of Correspondence Address | Other Enclosure(s) (please identify below): | | | | |
| Information Disclosure Statement | Terminal Disclaimer | Declaration and Power of | | | | |
| Certified Copy of Priority Document(s) | Request for Refund | Attorney; Copy of Notice; return postcard | | | | |
| Response to Missing Parts/ Incomplete Application | CD, Number of CD(s) | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | Remarks | | | | | |
| | | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | |
| Firm Eric S. Hyman | Eric S. Hyman, Reg. No. 30,139 | | | | | |
| or Individual name BLAKELY, So | BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN | | | | | |
| Signature | Lotto | | | | | |
| Date | 2/4/02 | | | | | |
| | | | | | | |

| | CERTIFICATE OF MAI | LING (OR | TRANSMISSION) | |
|---|--|-----------------------------|--|--------------------------------------|
| I hereby certify that this envelope address d to: | correspondence is being deposited wit Assistant Commission r for Patents, \ | n the United Vashington, | States Postal Service D.C. 20231 on this da | as first class mail in an te: 2-4-02 |
| Typed or printed name | Melissa Stead | , | | |
| Signature | Welin Kead | | Dat | 2-4-02 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



OPY OF PAPERS

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| 7 | FEE | TR | AN | SM | ITT | AL |
|---|------|----------------------------|-----------------|-----------------|----------------|----|
| | Pete | for ant fees are | FY subject t | 200 annual r |)2 evision. | |

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

170.00

Complete if Known 10/001,674 **Application Number** Filing Date 10/31/01 First Named Inventor K.P. Ho, et al. **Examiner Name** Group Art Unit Attorney Docket Number 25821P034

| METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) | | | | | | |
|--|-------|-----------|-----------|-----------|---|----------|
| Check Credit Money Other None | 3. A | DDITI | ONA | L FEI | | |
| Card Order | Large | Entity | Smal | I Entity | | |
| Deposit Account | Fee | | Fee | Fee | Fee Description | Fee Paid |
| Deposit | Code | (\$) | Code | (\$) | | |
| Account Number 02-2666 | 105 | 130 | 205 | 65 | Surcharge - late filling fee or cath | 130 |
| Deposit Account Blakely, Sokoloff, Taylor & Zafman | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| Name | 139 | 130 | 139 | 130 | Non-English specification | |
| The Commissioner is authorized to: (check all that apply) | i . | 2,520 | | | For filing a request for ex parte reexamination | |
| Charge fee(s) indicated below Credit any overpayments | 112 | 920 | 112 | 920 | Requesting publication of SIR prior to | |
| Charge any additional fee(s) during the pendency of this application. | | | | | Examiner action | |
| application. Charge fee(s) indicated below, except for the filling fee, to the above-identified deposit account | 113 | 1,840 | 113 | 1,840 | Requesting publication of SIR after Examiner action | |
| | 115 | 110 | 215 | 55 | Extension for response within first month | |
| FEE CALCULATION | 116 | 400 | 216 | 200 | Extension for response within second month | |
| 1. FILING FEE | 117 | 920 | 217 | 460 | Extension for response within third month | |
| | 118 | 1,440 | 218 | 720 | Extension for response within fourth month | |
| Large Entity Small Entity Fee Fee Fee Fee Description Fee Paid | 128 | 1,960 | 228 | 980 | Extension for response within fifth month | |
| Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$) | 119 | 320 | 219 | 160 | Notice of Appeal | |
| | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 101 740 201 370 Utility filing fee | 121 | 280 | 221 | 140 | Request for oral hearing | |
| | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| | 140 | 110 | 240 | 55 | Petition to revive - unavoidably | |
| 108 740 208 370 Reissue filing fee | 141 | 1,280 | 241 | 640 | Petition to revive - unintentionally | |
| 114 160 214 80 Provisional filing fee | 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| SUBTOTAL (1) (\$) | 143 | 460 | 243 | 230 | Design issue fee | <u></u> |
| | 144 | 620 | 244 | 310 | Plant issue fee | |
| 2. CLAIMS Fee from Extra balant Fee Paid | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| Total Claims 34 - = X = below = | 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| Independent 2 - = X = | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| Multiple Dependent Claims | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 4 |
| Large Entity Small Entity | 146 | 740 | 246 | 370 | Filing a submission after final rejection | |
| Fee Fee Fee Fee Description | | | | | (37 CFR 1.129(a)) | |
| Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20 | 149 | 740 | 249 | 370 | For each additional invention to be | |
| 102 84 202 42 Independent claims in excess of 3 | i . | | | | examined (37 CFR 1.129(b)) | |
| 104 280 204 140 Multiple Dependent claim | 179 | | 279 | | Request for Continued Examination (RCE) | |
| 109 84 209 42 Reissue independent claims | 169 | 900 | 169 | 900 | Request for expedited examination | 1 |
| over original patent | | | | | of a design application | |
| 110 18 210 9 Reissue daims in excess of 20 | Otne | r fee (s | pecit | y) | | L |
| and over original patent | | | | | | |
| SUBTOTAL (2) (\$) | ٠ ا | | - حس | - F D ' | SUBTOTAL (3) (\$) | 170.0 |
| "or number previously paid, if greater, For Reissues, see above | Reduc | ed by Bas | SIC FILIN | g ree Pai | 0 | |
| SUBMITTED BY | | | | | Complete (if appli | cable) |

| SUBMITTED BY | | | | Complete (ii a | applicable) |
|--------------------------|--------------------------------|------|--------|----------------------------|-------------|
| Typed or Printed Name | Eric S. Hyman, Reg. No. 30,139 | | | Reg. Number | |
| Signature | Enth | Đấte | 2/4/02 | Deposit Account User ID | 02-2666 |

Attorney Docket No.: 25821P034

IN THE UNITED STATES OF TENT AND TRADEMARK OFFICE

| In re the Application of: | | |
|---|--------------------------------|--------------------------------|
| K.P. Ho, et al. | Art Group: | |
| Application No.: 10/001,674 | Examiner: | COPY OF PAPERS |
| Filed: October 31, 2001 | | ORIGINALLY FILED |
| For: AN ITO HEATER | | |
| | | |
| | | |
| Assistant Commissioner for Patents Washington, D.C. 20231 | | |
| TRANSMITTAL (| OF FORMAL DRAWING | es |
| Sir: | | |
| Enclosed herewith for filing in the above-i | dentified U.S. Patent Applica | tion are the formal drawings, |
| 2 sheets including 6 Figures. Applicant hereby au | thorizes any additional extens | sion or petition fees under 37 |
| C.F.R. §1.17 or credit for any overpayment to our | r Deposit Account No. 02-26 | 66. A copy of the Fee |
| Transmittal sheet is enclosed. | | |
| | | |
| | Respectfully submitted, | |
| | BLAKELY, SOKOLOFF, | TAYLOR & ZAFMAN |
| 1 1 | | / |
| Dated: 2/9/87 | | |
| | Eric S. Hyman, Keg No. | . 30,139 |

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 Telephone: (310) 207-3800